

Date : \_\_\_\_\_

To,  
**SSJ Finance & Securities Pvt. Ltd.**

1st Floor, Merchant Chamber, 41, New Marines Lines, Mumbai-400 020.

With reference to the opening of trading Account with you for availing facility for trading in securities on the stock exchanges pursuant to the Account Opening Documents, Depository Participant - Client Agreement and other non-mandatory documents executed by me with you, I, hereby unconditionally and unequivocally, state, declare, undertake, confirm to SSJ Finance & Securities Pvt. Ltd., (hereinafter referred to as "SSJ Finance") as under:

- I am non-resident Indian as defined under Foreign Exchange Management Act (FEMA).
- I understand that the trading account is opened on the basis of statement / declarations made by me, and that if any of the statement / declarations made herein is found to be incorrect in material particulars, SSJ Finance may discontinue my trading account.
- I confirm and agree that the trading account will be used for bona fide transactions not involving any violation of the provisions of any applicable Regulations.
- I undertake to intimate to SSJ Finance about my return to India for permanent residency immediately on arrival.
- I confirm that all Investments and disinvestment in India are covered either by general or special permission of Reserve Bank of India.
- I agree and confirm that I shall abide by all the rules and regulations of the FEMA / Reserve Bank of India governing the trading in Indian secondary securities market.
- I shall keep margin deposit amount with SSJ Finance as stipulated by SSJ Finance from time to time.
- Any notice / correspondence / contract notes / statement of accounts / statement of securities sent to me at any of the address given in the Know Your Client (KYC) form in the ordinary course of post / courier / fax or on the email ID mentioned in the said KYC form or intimated separately, as the case may be, for the purpose of receiving electronic contract notes shall be deemed to have been received by me.
- I hereby confirm having understood that SSJ Finance may decline to comply with any instructions authorized by me if in SSJ Finance's opinion; compliance therewith would be illegal or result in a breach of any applicable laws or regulation.
- I confirm that the bank having my PIS account is given standing instruction to honor all the contract notes relating to purchase trades received from SSJ Finance. Copy of the instruction so given to the bank shall be provided to SSJ Finance for their record.
- I agree and confirm that in the event I desire to withdraw the standing instruction given as aforesaid, I shall serve at least 15 (Fifteen) working days advance written notice on SSJ Finance. Such revocation shall be effective only on and from the date of expiry of 15 (Fifteen) working days from the date of service of such notice on SSJ Finance provided that such revocation shall not have any effect on the transactions in securities executed by me before the effective date of such notice of revocation and I agree and undertake that I shall continue to be liable and bound by the transactions and obligations undertaken by me with SSJ Finance prior to effective date of such revocation and shall ensure that bank honours all such instructions received from SSJ Finance on or before the effective date of revocation.
- I shall carry out all our secondary market transactions only through SSJ Finance. In case if I register myself as clients with any other Member Broker, I shall keep SSJ Finance informed about the same.
- I hereby authorize SSJ Finance to ask my bankers the amount lying as balance in my PIS bank account on daily basis for the purpose of their risk management and I confirm having instructed the bank to extend co-operation in this regard and to disclose balance in the PIS bank account to SSJ Finance whenever required by SSJ Finance.
- I hereby agree and confirm to indemnify and save, defend and keep SSJ Finance harmless and indemnified, at all times from and against all actions, causes, suits, proceedings, claims and demands whatsoever made on SSJ Finance by any person and against all costs charges, expenses, damages and sums of money (including legal expenses) incurred, suffered or sustained by SSJ Finance for any non compliance of applicable act, rules or regulations by me or due to breach of the terms and conditions of my obligations under rights and obligations document or any other agreement / document executed by me for availing such services from SSJ Finance.
- I agree not to close the bank account without first obtaining a No Objection Certificate from SSJ Finance if I am availing the online trading facility for trading in securities. We confirmed having authorised the Bank to reject any such closure request made by me if not accompanied by No Objection Certificate issued by SSJ Finance. I confirm having authorised the Bank to debit/block my bank account on the instructions issued by SSJ Finance for any dues that may be outstanding and payable by me to SSJ Finance for the transactions carried out by me prior to issuance of No Objection.

I confirm that the information set out herein above is true, complete and accurate and that SSJ Finance shall be informed from time to time of any changes.

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Client Signature